



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Garner et al.

Examiner: Unknown

Serial No.: 10/083,810

Group Art Unit: 1723

Filed: February 27, 2002

Docket No.: 1001.1495101

For: INTRAVASCULAR FILTER RETRIEVAL DEVICE HAVING AN ACTUATABLE DILATOR TIP

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**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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TECHNOLOGY CENTER

Sir:

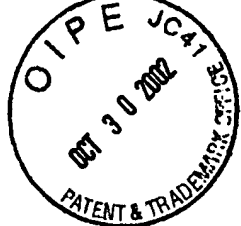
<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>25<sup>th</sup></u> day of <u>October</u>, 2002.</p> <p>By: <u>[Signature]</u> Glenn M. Seager</p>
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We are transmitting herewith the attached:

- ☒ Certification for Information Disclosure Statement Under 37 CFR1.97(e).
- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Two (2) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-04137

By: [Signature]  
Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

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CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97(e)

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

25th DAY OF October 2002.  
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR §1.97(e)(1).

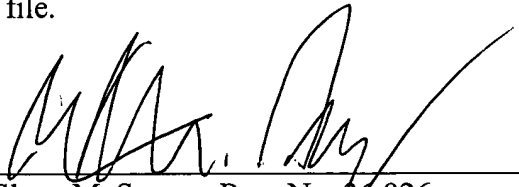
or

\_\_\_ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.  
37 CFR §1.97(e)(2).

3. The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in §1.56(c).
- ☒ in the attorney's file.

  
Glenn M. Seager, Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, Minnesota 55401  
Address of Attorney



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2002 DAY OF October, 2002.

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Respectfully submitted,

Dated: Oct. 25, 2002

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